



MINUTES OF A MEETING OF THE AUDIT AND GOVERNANCE COMMITTEE HELD ON 14th FEBRUARY 2019

PRESENT: Councillor M Summers (Chair), Councillors C Cooke, J Faulkner, M Gant and M Greatorex

Officers Stefan Garner (Executive Director Finance), Lynne Pugh (Assistant Director Finance) and Angela Struthers (Head of Audit & Governance)

Visitors Phil Jones and Laurelin Griffiths (External Auditors)

45 MINUTES OF THE PREVIOUS MEETING

The minutes of the meeting held on 25th October 2018 were approved and signed as a correct record.

(Moved by Councillor M Gant and seconded by Councillor M Greatorex)

46 MINUTES OF MEETINGS OF SUB-COMMITTEE

The minutes of the meeting held on 22nd October 2018 and 21st November 2018 were approved and signed as a correct record.

(Moved by Councillor M Summers and seconded by Councillor J Faulkner)

Rule 12.5 of the Committee Procedure Rules, Right to require individual vote to be recorded.

Councillor C Cooke Voted against the motion.

47 APOLOGIES FOR ABSENCE

Apologies for absence were received from Councillor R Kingstone and Councillor R Claymore

48 DECLARATIONS OF INTEREST

There were no declarations of Interest.

49 AUDIT REPORT ON CERTIFICATION WORK

The Certification work for Tamworth Borough Council for the year ended 31st March 2018 was presented to members

RESOLVED: Members endorsed the report

*(Moved by Councillor J Faulkner and seconded by
Councillor C Cooke)*

50 AUDIT PLAN

RESOLVED: That the Committee

Endorsed the external Audit Plan for year ending 31st March
2019

*(Moved by Councillor M Summers and seconded by
Councillor M Gant)*

51 RISK MANAGEMENT QUARTERLY REPORT

The Assistant Director Finance reported on the Risk Management process and progress to date for the current financial year.

RESOLVED That the Committee;
Endorsed the Corporate Risk Register

(Moved by Councillor C Cooke and seconded by Councillor J Faulkner)

52 INTERNAL AUDIT UPDATE REPORT 2018/19 QUARTER 3

The Head of Audit and Governance reported on the outcome of Internal Audit's review of the Internal Control, Risk Management and Governance framework in the 3rd quarter of 2018/19 – provided members with assurance of the ongoing effective operation of an Internal Audit function and enable any particularly significant issues to be brought to the Committee's attention

RESOLVED The Committee;
Considered the attached report and raised any issue it
deems appropriate.

(Moved by Councillor M Summers and seconded by Councillor M Gant)

53 REGULATION OF INVESTIGATORY POWERS ACT 2000

The Council's Code of Practice for carrying out surveillance under the Regulation of Investigatory Powers Act 2000 (RIPA) specifies that quarterly reports will be taken to Audit & Governance Committee to demonstrate to elected members that the Council is complying with its own Code of Practice when using RIPA

RESOLVED That Audit and Governance Committee:

Endorsed the RIPA monitoring report for the quarter to 31st December 2018.

(Moved by Councillor C Cooke and seconded by Councillor J Faulkner)

54 AUDIT AND GOVERNANCE COMMITTEE TIMETABLE

The Committee reviewed the timetable.

Chair